Case 1:19-cv-07199-AT Document 66 Filed 07/19 2tusBeggbhgt 1 DOCUMENT ELECTRONICALLY FILED DOC #: UNITED STATES DISTRICT COURT 7/19/2021 DATE FILED: SOUTHERN DISTRICT OF NEW YORK SECURITIES AND EXCHANGE COMMISSION, No. 19 Civ. 7199 (AT) Plaintiff, --against--**ECF** Case MICHAEL A. CARROLL, MICHAEL V. PAPPAGALLO, STEVEN A. SPLAIN, and MICHAEL MORTIMER, Defendants. NOTICE OF VOLUNTARY DISMISSAL OF DEFENDANTS MICHAEL A. CARROLL AND MICHAEL V. PAPPAGALLO PLEASE TAKE NOTICE, that Defendants Michael A. Carroll and Michael V. Pappagallo are DISMISSED with prejudice from the Complaint brought by the Plaintiff Securities and Exchange Commission. This dismissal is made pursuant to Fed. R. Civ. P. 41(a)(1)(A). Neither Defendant Carroll nor Defendant Pappagallo has filed an answer or a motion for summary judgment. Dated: July 19, 2021 New York, New York Respectfully submitted, SECURITIES AND EXCHANGE COMMISION By: s/Nancy A. Brown Nancy A. Brown New York, New York 200 Vesey Street, Suite 400 New York, NY 10281-1022

SO ORDERED.

Dated: July 19, 2021

ANALISA TORRES United States District Judge Attorney for Plaintiff